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PATENT & TRADEMARK OFFICE

PTO/SB/21 (08-00)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/073,763

Filing Date 02/11/2002

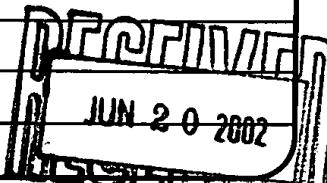
First Named Inventor Markus P. Hehlen

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number 56



### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Charles B. Katz, Reg. No. 36,564
Signature	
Date	05/28/2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: **10/073,763**  
Title: **Integrated Optical Isolator Array**  
Applicants: **Markus P. Hehlen, et al.**  
Filed: **February 11, 2002**  
Attorney Ref. No.: **56**  
Art Unit: **Unknown**  
Examiner: **Unknown**

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Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §56 and §§97-98, enclosed please find an Information Disclosure Statement relating to the above-identified Application, including a completed Form PTO-1449 and copies of the twelve (12) references cited therein. The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made, nor should it be construed to be an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §56(b).

Respectfully,

Charles B. Katz, Reg. No. 36,564  
Tel. No.: 650-849-6867

Dated: 5-28-2002

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Substitute for form 1449A/PTC

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 6

Sheet 1 of 2

Sheet 1 of 2

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collection of information unless it contains a valid OMB control number.

Complete If Known	
<b>Application Number</b>	10/073,763
<b>Filing Date</b>	02/11/2002
<b>First Named Inventor</b>	Markus P. Hehlen
Group Art Unit	
Examiner Name	
Attorney Docket Number	56

U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_  
\*EXAMINER: Initial if reference considered, whether or not cited.

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheer

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**Complete if Known**

Application Number	10/073,763
Filing Date	02/11/2002
First Named Inventor	Markus P. Hehlen
Group Art Unit	
Examiner Name	
Attorney Docket Number	56

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

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